

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, any required copies of documents listed thereon, and any concise explanation of their relevance is indicated below per 37 CFR 1.97.

| Application Number | 111/555644 | | | | | |
|------------------------|----------------|--|--|--|--|--|
| Filing Date | | | | | | |
| First Named Inventor | GOOTZEN et al. | | | | | |
| Group Art Unit | | | | | | |
| Examiner Name | | | | | | |
| Attorney Docket Number | DE 020284 | | | | | |

| | X Please Account 1 | charge any re No. 14-1270. | equired fee u | ınder §1. | .17(i) or § | 1.17(p) o | r any other | required fee | (ex | cept the issue fee) to |
|---|--|--|-------------------------------|-------------------------|--------------------------|----------------------|--------------------------|---------------------------------|---------------|------------------------|
| 1. | ☐ I certify that these documents were first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months ago. | | | | | | | | | |
| 2. | I certify that none of these documents were cited in any communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, none of these documents was known to any individual designated in §1.56(c) more than three (3) months ago. | | | | | | | | | |
| | Applicant hereby petitions under §1.97(d) that this IDS be considered after final Action or Notice of Allowance, pays the fee under §1.17(p) as indicated below, and I certify 1. or 2. as indicated above. | | | | | | | | | |
| | A fee under §1.17(p) is not required under §1.97(c), after the first Action on the merits and more than (3) months after the date of application or RCE, because I certify 1. or 2. as indicated above. | | | | | | | | | |
| | A copy of the citations is not required because they were previously submitted or cited in the parent application (or in U.S. patent application Ser. No | | | | | | | | | |
| | Tillig Da | | | 10 | oned on to | an carn | ei ellective | innig date u | nac | 1 33 U.S.C. 120). |
| | A copy of the U.S. patent(s) and patent application publication(s) in all U.S. national patent applications filed after June 30, 2003, and in all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003 under 37 CFR 1.491(b), are not required. | | | | | | | | | |
| | A cond designated | cise explanation of the contract of the contra | on of the rele most knowle | evance o edgeable | of each none about the | -English contents | document , is enclose | , as understoo d per §1.98(a | od b a)(3) | y the individual). |
| The cor §1.56(c | ncise expla) most kno | nation of the owledgeable a | relevance of bout the cor | f any nor ntents, is | n-English of that the do | documen ocument | it, as under | stood by the i | ndi | vidual designated in |
| | cited in the specification or considered in drafting the specification of this application; | | | | | | | | | |
| previously submitted or cited in the parent application (or in a related patent application Ser. No); | | | | | | | | | | |
| cited as an "X" or "Y" document in a foreign Patent Office search report in a foreign counterpart application, a copy of which report is also enclosed. | | | | | | | | | | |
| | | | SIGNATURE | OF APPL | LICANT, ATT | TORNEY, | OR AGENT R | EQUIRED | | |
| Name (Prir | nt Type) | Frank J. Ke | | | | | Registration I | No. (Attorney/Agent | | 50,145 |
| Signature | | Frank | l Koos | w | | | Date | 5/ | 60 | 65 |
| CERTIFICATE OF MAILING OR TRANSMISSION | | | | | | | | | | |
| I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, | | | | | | | | | | |
| | 450 Alexandria | , VA 22313-1450, or | | | | | | | | on the date below: |
| Signature | | | | | | | Date | <u> </u> | | |

JC14 Rec'd PCXPTO 20 MAY 2005

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

| Complete if Known | | | | | |
|------------------------|---|--|--|--|--|
| Application Number | 10/535659 | | | | |
| Filing Date | 66 00000000000000000000000000000000000 | | | | |
| First Named Inventor | GOOTZEN et al. | | | | |
| Art Unit | | | | | |
| Examiner Name | | | | | |
| Attorney Docket Number | DE 020284 | | | | |

| Examiner Cite Docu | | Document Number | Publication Date | T DOCUMENTS Name of Patentee or | Pages, Columns, Lines, Where |
|--------------------|------|---|--|----------------------------------|---|
| Initials* | No.¹ | Number-Kind Code ^{2 (# known)} | MM-DD-YYYY | Applicant of Cited Document | Relevant Passages or Relevant Figures Appear |
| | | ^{US-} 5,810,635 | 09-22-1998 | Heider et al. | |
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| | | FORE | IGN PATENT DOCU | MENTS | | |
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| | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | | | τ6 |
| | | WO 00/67294 | 11-09-2000 | KPENV | | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached

Traisation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.